



## **Compliance Audit Committee Meeting Agenda**

**Date:** July 22, 2019  
**Time:** 3:00 pm  
**Location:** Council Chambers Level 2, City Hall

Pages

- 1. Declarations of Interest:**
- 2. Delegations:**
- 3. Regular Items:**
  - 3.1 Application for Compliance Audit (Gareth Williams) 1 - 4
    - a. Financial Statement - Auditors Report for Gareth Williams. 5 - 16
  - 3.2 Application for Compliance Audit (Rory Nisan) 17 - 22
    - a. Financial Statement - Auditors Report for Rory Nisan. 23 - 33
- 4. Other Business:**
- 5. Adjournment:**

## Application for a Compliance Audit

Municipal Elections Act, 1996, 81(2)

**INSTRUCTIONS:** Completed applications for a compliance audit for a candidate for Mayor or Council can be:

- hand delivered or sent by regular mail to the City of Burlington, Attention: City Clerk, 426 Brant Street, Burlington, ON, L7R 3Z6
- sent by fax to 905-335-7675 to the attention of the City Clerk, or
- emailed to [cityclerks@burlington.ca](mailto:cityclerks@burlington.ca)

Applications are due June 25, 2015

Compliance audit applications for candidates for Regional Chair must be sent to the Clerk of the Region of Halton.


Applicant Information		
Last Name <i>M<sup>c</sup>Eachern</i>		First Name <i>D. Luke</i>
Mailing Address <i>1299 Princeton Crescent</i>	City/Town <i>Burlington</i>	Postal Code <i>L7P 2K9</i>
Address or Description of Property that Qualifies the Applicant as an Elector in the City of Burlington. <i>1299 Princeton Crescent Burlington ON</i>		
Email Address <i>lukeM<sup>c</sup>eachern@gmail.com</i>	Business Phone <i>(289) 991-2996</i>	Home Phone <i>(905) 331-8469</i>

Requesting a Compliance Audit of the Campaign Expenses of:	
Candidate's Last Name <i>Williams</i>	Candidate's First Name <i>Gareth</i>
Name of the Office for Which the Candidate Sought Election <i>CITY + Regional Council WARD 3</i>	
Filing Date of Candidate's Financial Statement	
Day <i>30</i>	Month <i>January</i> Year <i>2019</i>

Reasons for Compliance Audit
In the area below, provide the reason(s) why you believe the candidate named above has contravened the Municipal Elections Act, 1996 relating to campaign finances. (Attach additional pages if necessary).
<i>-Candidate Campaign Website was PURCHASED/CREATED Before Election Campaign Period Began.</i>

This application will be shared with the candidate, the Joint Compliance Audit Committee, the auditor chosen to investigate this application (if applicable), Burlington Council and will be posted on City of Burlington website. If this application is forwarded to an auditor and the auditor's report concludes that there was no apparent contravention of the *Municipal Elections Act, 1996* and the Joint Compliance Audit Committee finds there are no reasonable grounds for this application, Burlington Council is entitled to recover the auditor's costs from you.

~~TWO Pages (2)~~ Four Pages (4)

Signature	Date
	22.6.19

Date \_\_\_\_\_

2



## Gareth Williams announces he will be a candidate for the Ward 3 seat that will not have an incumbent in the race.

By Staff

**Electing  
A NEW  
Council**

April 15th, 2018

BURLINGTON, ON

Gareth Williams has made it official – his hat is in the race for the ward 3 council seat.

A number of ward 3 residents have indicated that they will be running for that council seat.

Williams is the first to put up a web site and include a survey.

Williams is a Brant Hills resident and long-time community advocate. He chaired the city's Sustainable Development Advisory Committee. He hopes to replace 30-year City Councillor John Taylor who announced his retirement earlier this week.



Gareth Williams at the Brant Hills meeting where John Taylor announced his resignation.

"Burlington will continue to grow and change over the coming years," Williams said. "I want to be a strong voice for my community and ensure residents' concerns are foremost when City Council makes decisions about Burlington's future. That is why I'm running for Ward 3 this October"

"The coming years will be pivotal for our city, and Ward 3 deserves someone equally committed to building our community and working for families."



Gareth Williams

Williams has also been an active volunteer with community advocacy groups such as BurlingtonGreen, BFAST, and the Halton Environmental Network. With over 10 years of local volunteer experience, Williams has built a strong awareness of the needs and concerns of local families and businesses.

He strongly believes that the community should grow in ways that bring positive benefits to residents and families.

Williams will officially register with the City of Burlington as a candidate on May 1st 2018.

A resident of Ward 3 since 2002, Williams completed a B.A. in Political Science at McMaster University, and his professional background is in Information Technology management. His full biography is available at <http://GarethEWilliams.ca>

You can reach Gareth at  
[gareth@garethwilliams.ca](mailto:gareth@garethwilliams.ca) / 289-635-8994

Nothing on the positions Williams would take on any of the contentious issues facing the city. Perhaps they will come when the race is real on May 1st.

In the meantime Williams might want to give a second thought to the web site he has in place; municipal election rules don't allow for any spending until the election begins. Williams said "the website was designed by my wife using free tools and photos taken by her or myself. It is hosted on my own personal server at no incremental cost. I do not believe it is violation of the election spending rules."

Tweet

Return to the Front page

Like

3 people like this. Be the first of your friends.

Share this:

Google LinkedIn Email Tumblr More

April 15th, 2018 | Category: [Government All](#), [News](#), [Private Sector](#)

1 comment to Gareth Williams announces he will be a candidate for the Ward 3 seat that will not have an incumbent in the race.

Jeff Brooks




April 16, 2018 at 12:53 pm · Reply

Good luck Gareth.


Home > Whois Lookup > GarethWard3.ca

## Whois Record for GarethWard3.ca

### — Domain Profile

Registrant	Redacted for Privacy Purposes	
Registrant Org	Redacted for Privacy Purposes	
Registrant Country	Redacted for Privacy Purposes	
Registrar	Netfirms, LTD IANA ID: — URL: www.netfirms.ca Whois Server: whois.ca.fury.ca	
Registrar Status	ok	
 <u>Dates</u>	520 days old Created on 2018-01-18 Expires on 2020-01-18 Updated on 2019-01-03	<a href="#">↗</a>
Name Servers	NS1.NETFIRMS.COM (has 301,566 domains) NS2.NETFIRMS.COM (has 301,566 domains)	<a href="#">↗</a>
Tech Contact	Redacted for Privacy Purposes Redacted for Privacy Purposes, Redacted for Privacy Purposes, Redacted for Privacy Purposes, Redacted for Privacy Purposes, (p) x (f) x	
IP Address	104.152.209.112 - 7 other sites hosted on this server	<a href="#">↗</a>
IP Location	 - Quebec - Saint-laurent - Rica Web Services	
ASN	 AS26832 RICAWEBSERVICES - Rica Web Services, CA (registered Nov 06, 2012)	
Hosting History	3 changes on 3 unique name servers over 1 year	<a href="#">↗</a>

### — Website

Website Title	 Apache2 Debian Default Page: It works	<a href="#">↗</a>
Server Type	Apache	
Response Code	200	
Terms	489 (Unique: 232, Linked: 5)	

# Financial Statement - Auditor's Report Candidate - Form 4

Municipal Elections Act, 1996 (Section 88.25)

## Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

YYYY				MM		DD		YYYY				MM		DD		
2	0	1	8	0	5	0	1	to	2	0	1	8	1	2	3	1

☒ Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

## Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name

Williams

Given Name(s)

Gareth Edward

Office for which the candidate sought election

Councillor

Ward name or no. (if any)

Three

Municipality

Burlington

Spending Limit - General

\$ 20,055.20

Spending Limit - Parties and Other Expressions of Appreciation

\$ 2005.52

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

## Box B: Declaration


I, Gareth Williams, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/01/30

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)	Time Filed	Initial of Candidate or Agent (if filed in person)	Signature of Clerk or Designate
2019/01/30	1:33pm	GW	

**Box C: Statement of Campaign Income and Expenses****LOAN**

Name of bank or recognized lending institution \_\_\_\_\_

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	8,955.58
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>8,955.58 c1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	
Advertising	+ \$	1,562.79
Brochures/flyers	+ \$	3,908.72
Signs (including sign deposit)	+ \$	723.20
Meetings hosted	+ \$	1,645.66
Office expenses incurred until voting day	+ \$	
Phone and/or internet expenses incurred until voting day	+ \$	230.38
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	
Bank charges incurred until voting day	+ \$	164.45
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. Flyer Distribution	+ \$	720.38
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>8,955.58 c2</b>

**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. _____	+ \$	
2. _____	+ \$	
3. _____	+ \$	
4. _____	+ \$	
5. _____	+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>C3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	
Office expenses incurred after voting day	+ \$	
Phone and/or internet expenses incurred after voting day	+ \$	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	
Bank charges incurred after voting day	+ \$	
Interest charged on loan after voting day	+ \$	
Expenses related to recount	+ \$	
Expenses related to controverted election	+ \$	
Expenses related to compliance audit	+ \$	
Expenses related to candidate's disability (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4)** = \$ **8,955.58** C5

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	0.00	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 – D2)</b>	= \$		
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$		
Surplus (or deficit) for the campaign	= \$	Ø	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.



## Part I – Summary of Contributions

+ \$ 939.65

+ \$

+ \$

+ \$ 1.755.00

- + \$ 1.755.00

+ \$ 6,260.93

- + \$ 6,260.93

— \$

- \$

**8,955.58 1A**

## Table 1: Monetary contributions from individuals other than candidate or spouse

☒ Additional information is listed on separate supplementary attachment

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Karmel Sakran	2303 Kirkburn Dr Burlington, ON L7P 4E9	Food and beverage	2018/09/20	355.93
<input type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	<b>355.93</b>

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 6,260.93 1B**

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		<b>Total</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				<b>Total</b>

## Schedule 2 – Fundraising Events and Activities

### Fundraising Event/Activity

Complete a separate schedule for each event or activity held

☐ Additional schedule(s) attached

Description of fundraising event/activity Campaign Launch Party

Date of event/activity (yyyy/mm/dd) 2018/05/01

#### Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ \_\_\_\_\_ 2A  
X \_\_\_\_\_ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

= \$ \_\_\_\_\_

#### Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____

Total Part II (include in Part 1 of Schedule 1)

= \$ \_\_\_\_\_

#### Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. Contributions of \$25 or less - Pass the hat	+ \$ 260.00
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____

Total Part III (include under Income in Box C)

= \$ 260.00

#### Part IV – Expenses related to fundraising event or activity

Provide details

1. Food and beverage	+ \$ 172.07
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____
6. _____	+ \$ _____
7. _____	+ \$ _____
8. _____	+ \$ _____

Total Part IV Expenses (include under Expenses in Box C)

= \$ 172.07



**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality	Date (yyyy/mm/dd)
--------------	-------------------

**Contact Information**

Last Name or Single Name	Given Name(s)	Licence Number
--------------------------	---------------	----------------

**Address**

Suite/Unit No.	Street No.	Street Name
----------------	------------	-------------

Municipality	Province	Postal Code
--------------	----------	-------------

Telephone No. (including area code)	Email Address
-------------------------------------	---------------

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☐ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

**City of Burlington**  
**2018 Municipal Elections**  
**Candidates Financial Information**

Candidate Spreadsheet 1  
**Contributions Over \$100**  
 (Form 4 - Table 1)

**Monetary contributions from individuals (other than candidate or spouse) over \$100**

Use this spreadsheet to record all contributions received that exceed \$100 per contributor. This spreadsheet is required under the Municipal Elections Act, 1996 and must be attached to the Financial Statement (Form 4) when submitted.

**Note:** This is a public document and will be posted online with the Financial Statement on the Town's Election website after the filing deadline.

Candidates Name:					Office:		
Contributors Last Name	Contributor's First Name	Street Address (Street, Unit)	City	Postal Code	Date Received (yyyy/mm/dd)	Amount (\$) Received	Amount (\$) returned to Contributor or Paid to Clerk (if any)
Williams	Trevor	2190 Lakeshore Rd Unit 901	Burlington	L7R 4K1	2018/05/01	\$ 200.00	\$ -
Goodings	David	415 Locust St Unit 802	Burlington	L7S 2J2	2018/05/01	\$ 200.00	\$ -
Brierley	Alyssa	219 Berkeley St	Toronto	M5A 2X3	2018/05/01	\$ 200.00	\$ -
Hirte	Hal	2063 Country Club Dr	Burlington	L7M 3V4	2018/05/01	\$ 500.00	\$ -
Fendeleit	Fran	1090 Westhaven Dr	Burlington	L7P 5B5	2018/08/10	\$ 150.00	\$ -
Garbutt	Don	27 Riverside Ct	Hamilton	L0R 1C0	2018/08/30	\$ 200.00	\$ -
Gribbons	Collin	4355 Bennett Rd	Burlington	L7L 1Y5	2018/09/21	\$ 200.00	\$ -
Molinaro	Vince	112 McNeilly Rd	Stoney Creek	L8E 5J1	2018/10/01	\$ 500.00	\$ -
Adcock	Maria	1111 Westhaven Dr	Burlington	L7P 5B4	2018/10/16	\$ 500.00	\$ -
Taylor	John	2019 Cavendish Dr	Burlington	L7P 1Y9	2018/09/05	\$ 405.00	\$ -
Brown	Doug	278 Linden Ave	Burlington	L7L 2P5	2018/08/23	\$ 200.00	\$ -

**City of Burlington**  
**2018 Municipal Elections**  
**Candidates Financial Information**

Candidate Spreadsheet 1

**Contributions Over \$100**  
 (Form 4 - Table 1)

Candidates Name:					Office:		
Contributors Last Name	Contributor's First Name	Street Address (Street, Unit)	City	Postal Code	Date Received (yyyy/mm/dd)	Amount (\$) Received	Amount (\$) returned to Contributor or Paid to Clerk (if any)
Hunter	Lawson	978 Parkhill Ave	Burlington	L8T 1T8	2018/09/26	\$ 250.00	\$ -
Vranich	Darivoj	3245 Harvester Rd Unit 14	Burlington	L7N 3T7	2018/10/18	\$ 1,200.00	\$ -
Fraleigh	Gregory	1 Hamilton Street South	Waterdown	L0R 2H0	2018/10/12	\$ 1,200.00	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -
						\$ -	\$ -

**City of Burlington**  
**2018 Municipal Elections**  
**Candidates Financial Information**

**Goods or Services Over \$100**  
 (Form 4 - Table 2)

**Goods or Services Contributions from individuals (other than candidate or spouse) over \$100**

Use this spreadsheet to record all goods or services contributed that exceed \$100 per contributor. This spreadsheet is required under the Municipal Elections Act, 1996 and must be attached to the Financial Statement (Form 4) when submitted.

Note: This is a public document and will be posted online with the Financial Statement on the Town's Election website after the filing deadline.

Candidates Name:			Office:				
Contributors Last Name	Contributors First Name	Address (# Street Name, Unit #)	City	Postal Code	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$ (Over \$100)
Sakran	Karmel	2303 Kirkburn Dr	Burlington	L7P 4E9	Food and beverage	2018/09/20	\$ 355.93
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -



## 2018 Municipal Election – Financial Submission Checklist

Ministry of Municipal Affairs – Financial Statement – Auditors Report- Candidate Form 4	
Ensure Box A is completed	✓
Ensure Box B is completed	✓
Candidates who receive contributions or incur expenses must complete Box C	✓
Candidates who receive contributions or incur expenses must complete BOX D	✓
Candidates who receive contributions or incur expenses must complete Schedule 1	✓
Candidates who receive contributions or incur expenses must complete Schedule 2	N/A
Candidates who receive contributions or incur expenses in excess of \$10,000 must attach an Auditors report – confirm this.	N/A
All surplus funds (after any refund to the candidate or their spouse) shall be paid immediate over to the Clerk (in trust) who is reposable for the conduct of the election – Surplus funds may be applied to compliance audit penalties if there are any.	N/A
Ensure that the Spending limit in Box A is as of September 20, 2018 and NOT SEPTEMBER 2014	✓
Candidates must list the names and addresses of every contribute who gives more than \$100 total to their campaign in their financial statement – They should keep a record of those who make any donationm, Ensure you check the names of those who make SMALLER donations and that they do not add up to more than \$100	✓
Financial statements ARE NOT required to have original signatures	✓
Filing deadline is 2p.m. March 29, 2019	✓
If a candidate did not receive any contributions (including from themselves) or incur any expenses, they are only required to fill out the first page of the financial statement and sign it.	
If a candidate files their financials, and then discovers there is an error, they can submit the corrected statement any time before the filing deadline on March 29, 2019 and replace the original with the corrected.	

## Application for a Compliance Audit

Municipal Elections Act, 1996, 81(2)

**INSTRUCTIONS:** Completed applications for a compliance audit for a candidate for Mayor or Council can be:

- hand delivered or sent by regular mail to the City of Burlington, Attention: City Clerk, 426 Brant Street, Burlington, ON, L7R 3Z6
- sent by fax to 905-335-7675 to the attention of the City Clerk, or
- emailed to [cityclerks@burlington.ca](mailto:cityclerks@burlington.ca)

Applications are due June 25, 2015

Compliance audit applications for candidates for Regional Chair must be sent to the Clerk of the Region of Halton.

Applicant Information		
Last Name <b>McEachern</b>		First Name <b>D. Luke</b>
Mailing Address <b>1299 Princeton Cres</b>	City/Town <b>Burlington</b>	Postal Code <b>L7P 2K9</b>
Address or Description of Property that Qualifies the Applicant as an Elector in the City of Burlington. <b>1299 Princeton Crescent Burlington ON</b>		
Email Address <b>LukeMcEachern@gmail.com</b>	Business Phone <b>289 941 2996</b>	Home Phone <b>905 331 8469</b>

Requesting a Compliance Audit of the Campaign Expenses of:	
Candidate's Last Name <b>NISAN</b>	Candidate's First Name <b>Rory</b>
Name of the Office for Which the Candidate Sought Election <b>City + Regional Councillor Ward 3</b>	
Filing Date of Candidate's Financial Statement Day <b>22</b> Month <b>MARCH</b> Year <b>2019</b>	

Reasons for Compliance Audit
In the area below, provide the reason(s) why you believe the candidate named above has contravened the Municipal Elections Act, 1996 relating to campaign finances. (Attach additional pages if necessary).
<b>① Candidate solicited gifts for Raffle</b>
<b>FOR FUNDRAISING PURPOSES.</b>
<b>- RECEIPTS FOR GIFTS NOT ACCOUNTED FOR</b>
<b>- RETURN OF GIFTS NOT RECEIPTED?</b>

- Gift of 4 x ONE MONTH UNLIMITED YOGA CORPORATION '7th Wave' 4 x 79<sup>00</sup> = \$316.<sup>00</sup>

Receipt? Return Receipt?

② Candidate Website: RoryNisan.ca

Was purchased/created 2018-01-19

- Purchase activation is outside of Allowable Campaign Period.

- Candidate should have acquired campaign SITE AFTER INITIAL CAMPAIGN PERIOD.

#### Distribution and Cost Recovery

This application will be shared with the candidate, the Joint Compliance Audit Committee, the auditor chosen to investigate this application (if applicable), Burlington Council and will be posted on City of Burlington website. If this application is forwarded to an auditor and the auditor's report concludes that there was no apparent contravention of the *Municipal Elections Act, 1996* and the Joint Compliance Audit Committee finds there are no reasonable grounds for this application, Burlington Council is entitled to recover the auditor's costs from you.

Total Pages Submitted, Including Attachments -

Six Pages (6)

I confirm that the information provided in this application is complete and accurate to the best of my knowledge, that I have read the Distribution and Cost Recovery information above, and that I am eligible under the *Municipal Elections Act, 1996* to vote in an election for the City of Burlington.

Signature

Date

22.6.19

Office Use Only

Received By

Date

Personal information contained on this form is collected under the authority of the *Municipal Elections Act, 1996*, s. 81.1. The information will be used to respond to your application for a compliance audit. Pursuant to s. 88 of the *Municipal Elections Act, 1996*, this document is a public record, despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*, and until its destruction, may be inspected by any person at the Clerk's Office at any time when the office is open. Questions about this collection can be directed to the City of Burlington, Attention: City Clerk, 426 Brant Street, Burlington, ON, L7R 3Z6 or at [cityclerks@burlington.ca](mailto:cityclerks@burlington.ca)

## Ward 3 candidate regrets the fund raising error - raffle proceeds have been returned.

By Staff

September 12th, 2018

**NEWS**

**BURLINGTON, ON**

Rory Nisan, a candidate for the ward 3 seat released a statement Tuesday evening saying he "was made aware by the City of Burlington on Monday afternoon that there could be an issue with the raffle held at a community barbecue" he hosted on Sunday, September 9.

Nisan also said: "It quickly became clear to me that I had made a mistake by holding a raffle without a permit.

"I regret this error.

"I have reached out to the City to find out how best to rectify the situation. I have also voluntarily cancelled the raffle and have already returned almost all contributions and am in the process of contacting the raffle participants to provide refunds.

*CANCELLED RAFFLE AFTER THE RAFFLE WAS HELD*



Table offering raffle tickets which are not permitted as a fund raiser



Ward 3 candidate Rory Nisan

"As far as corporate donations are concerned, the 2018

Candidates Guide for Ontario Municipal Council and School Board Elections states on p. 23 that vendors may make personal contributions of goods and services.

"Also, returning an ineligible contribution is the correct response (p.20-21) should one be received. After discussing with the city and the province, I am convinced that there is no corporate donation being made to my campaign.

"I look forward to resolving the issue of the permit by-law soon."

Nisan sees the error as minor and that the matter is now closed.

**Related news story:**

**Gareth Williams wants Elections Clerk to investigate fund raising efforts by Rory Nisan**

**Return to the Front page**

*Requires receipts  
For Receiving + Return*



Rory Nisan



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@RoryNisanWard3

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Write a comment...



Kim Verrall · 0:21 Looks like fun! Sorry we couldn't be there!

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Rory Nisan shared an event.

September 6, 2018 ·

Thank you Melinda at 7th Wave Yoga for donating 4 free unlimited one month passes to the BBQ! They will be great additions to the raffle.

And yes, the BBQ will have veggie options.

Here's her studio's facebook page: <https://www.facebook.com/7thwaveyoga/>



SUN, SEP 9, 2018

**Rory's Community BBQ - Mtnside/Brant Hills/North Burlington**

Interested

Shared to Brant Hills/Mountainside Community Gro...

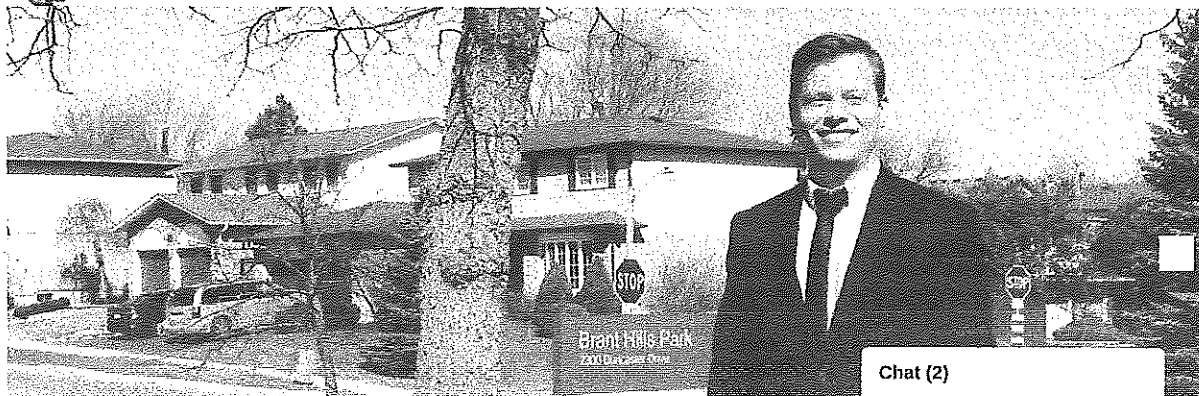
4

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411 people like this

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About

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Typically replies within a day  
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[www.rorynisan.ca](http://www.rorynisan.ca)



Community



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Page created - March 25, 2018

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# Corporate donation YOGA ON BRANT

HOME YOGA CLASSES OUR INSTRUCTORS CLASS SCHEDULE PRICING SPACE RENTAL WHAT'S NEW! More

## PRICING

Intro Special 30 days \$49

DROP IN CLASS - \$14

5 CLASS PASS - \$65

10 CLASS PASS - \$120

20 CLASS PASS - \$220

\*No expiry. Prices in effect March 1, 2019.

All Classes

Yoga, Pilates, Barre, Sculpt & Tone, Stretch

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(Minimum 3 months membership, includes 10% off Workshops)

\*All prices do not include HST

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4x value  
\$316.00

Home > Whois Lookup > RoRyNisan.ca

## Whois Record for RoRyNisan.ca

### — Domain Profile

Registrant	Redacted for Privacy Purposes	
Registrant Org	Redacted for Privacy Purposes	
Registrant Country	Redacted for Privacy Purposes	
Registrar	Go Daddy Domains Canada, Inc IANA ID: — URL: ca.godaddy.com Whois Server: whois.ca.fury.ca	
Registrar Status	clientDeleteProhibited, clientTransferProhibited, clientUpdateProhibited	
<b>Dates</b>	513 days old Created on 2018-01-19 Expires on 2020-01-19 Updated on 2019-03-05	➡
Name Servers	NS1.BLUEHOST.COM (has 2,479,936 domains) NS2.BLUEHOST.COM (has 2,479,936 domains)	➡
Tech Contact	Redacted for Privacy Purposes Redacted for Privacy Purposes, Redacted for Privacy Purposes, Redacted for Privacy Purposes, Redacted for Privacy Purposes, Redacted for Privacy Purposes (p) x (f) x	
IP Address	173.254.106.249 - 11 other sites hosted on this server	➡
IP Location	🇺🇸 - Utah - Provo - Unified Layer	
ASN	🇺🇸 AS46606 UNIFIEDLAYER-AS-1 - Unified Layer, US (registered Oct 24, 2008)	
Hosting History	5 changes on 5 unique name servers over 5 years	➡

### — Website

Website Title	🚫 500 SSL negotiation failed:	➡
Response Code	500	

Whois Record ( last updated on 2019-06-16 )

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 

YYYY	MM	DD
2 0 1 8	0 5	0 1

 to 

YYYY	MM	DD
2 0 1 8	1 2	3 1

☐ Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)

☐ Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name

Nisan

Given Name(s)

Rory

Office for which the candidate sought election

Councillor

Ward name or no. (if any)

3

Municipality

Burlington

Spending Limit - General

\$ 20,555.20

Spending Limit - Parties and Other Expressions of Appreciation

\$ 2005.52

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, Rory Nisan, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2019/02/26

Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd)

2019/03/22

Time Filed

2:10pm

Initial of Candidate or Agent (if filed in person)

RV

Signature of Clerk or Designate





**Box C: Statement of Campaign Income and Expenses****LOAN**Name of bank or recognized lending institution NA

Amount borrowed \$ \_\_\_\_\_

**INCOME**

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	18,015.15
Revenue from items \$25 or less	+ \$	_____
Sign deposit refund	+ \$	_____
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Campaign Income (Do not include loan)</b>	<b>= \$</b>	<b>18,015.15 c1</b>

**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1)	+ \$	_____
Advertising	+ \$	2,578.35
Brochures/flyers	+ \$	7,601.89
Signs (including sign deposit)	+ \$	3,160.65
Meetings hosted	+ \$	195.50
Office expenses incurred until voting day	+ \$	6.22
Phone and/or internet expenses incurred until voting day	+ \$	89.36
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____
Bank charges incurred until voting day	+ \$	106.93
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. BBQ	+ \$	1,348.57
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Expenses subject to general spending limit</b>	<b>= \$</b>	<b>15,087.47 c2</b>

**EXPENSES****Expenses subject to spending limit for parties and other expressions of appreciation**

1. Volunteer appreciation party	+ \$	191.18
2. _____	+ \$	_____
3. _____	+ \$	_____
4. _____	+ \$	_____
5. _____	+ \$	_____
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>	<b>= \$</b>	<b>191.18 c3</b>

**Expenses not subject to spending limits**

Accounting and audit	+ \$	1,130.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$		
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$		
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$		
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
<b>Total Expenses not subject to spending limits</b>	<b>= \$</b>	<b>1,130.00</b>	<b>C4</b>
<b>Total Campaign Expenses (C2 + C3 + C4)</b>			<b>= \$ 16,408.65 C5</b>

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	1,606.50	D1
Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only)	- \$		D2
<b>Total (D1 – D2)</b>	<b>= \$</b>	<b>1,606.50</b>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	1,606.50	RV
Surplus (or deficit) for the campaign	<b>= \$</b>	<b>0</b>	<b>D3</b>

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

## Part I – Summary of Contributions

+ \$ 8,300.00

+ \$ 30.00

+ \$ 1,165.15

+ \$ 8,520.00

- \$

- \$  
= \$ **18,015.15** 1A

## Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount Received \$	Amount \$ Returned to Contributor or Paid to Clerk
See attached			7,875.00	
<input checked="" type="checkbox"/> Additional information is listed on separate supplementary attachment			<b>Total</b>	<b>7,875.00</b>

**City of Burlington**  
**2018 Municipal Elections**  
**Candidates Financial Information**

**Contributions Over \$100**  
 (Form 4 - Table 1)

**Monetary contributions from individuals (other than candidate or spouse) over \$100**

Use this spreadsheet to record all contributions received that exceed \$100 per contributor. This spreadsheet is required under the Municipal Elections Act, 1996 and must be attached to the Financial Statement (Form 4) when submitted.

**Note:** This is a public document and will be posted online with the Financial Statement on the Town's Election website after the filing deadline.

Candidates Name: <b>Rory Nisan</b>				Office: <b>Councillor, Ward 3</b>			
Contributors Last Name	Contributor's First Name	Street Address (Street, Unit)	City	Postal Code	Date Received (yyyy/mm/dd)	Amount (\$) Received	Amount (\$) returned to Contributor or Paid to Clerk (if any)
Nisan	Christine	6 Mint Leaf Boulevard	Brampton	L6R 2K4	6/27/2018	\$ 250.00	\$ -
Scobie	Gary	1415 Brenner Crescent	Burlington	L7P 2V9	7/13/2018	\$ 250.00	\$ -
Steiner	Elizabeth	RR2	Vanessa	N0E 1V0	7/19/2018	\$ 100.00	\$ -
Marinho	Antonio	1505-1405 Prince of Wales	Ottawa	K2C 3J9	8/6/2018	\$200	\$ -
Steiner	Elizabeth	RR2	Vanessa	N0E 1V0	8/7/2018	\$ 500.00	\$ -
Kearns	Lisa	1407 Birch Avenue	Burlington	L7S 1J2	8/27/2018	\$ 1,200.00	\$ -
Cornack	Wayne	238 Patricia Crescent	Selwyn	K9J 0C7	8/31/2018	\$ 325.00	\$ -
Soni	Shannon-Marie	111 Ivy Crescent	Ottawa	K1M 1Y1	9/10/2018	\$ 500.00	\$ -
Fendelet	Frances	1090 Westhave Drive	Burlington	L7P 5B5	8/10/2018	\$ 150.00	\$ -
White	Stephen	274 White Pines Drive	Burlington	L7L 4E7	9/17/2018	\$ 250.00	\$ -
Nisan	Christine	6 Mint Leaf Boulevard	Brampton	L6R 2K4	9/17/2018	\$ 250.00	\$ -
Smith	Blair	1434 Brenner Court	Burlington	L7P 2Z2	10/9/2018	\$ 200.00	\$ -

**City of Burlington**  
**2018 Municipal Elections**  
**Candidates Financial Information**

**Contributions Over \$100**  
 (Form 4 - Table 1)

Candidates Name: <b>Rory Nisan</b>				Office: <b>Councillor, Ward 3</b>			
Contributors Last Name	Contributor's First Name	Street Address (Street, Unit)	City	Postal Code	Date Received (yyyy/mm/dd)	Amount (\$) Received	Amount (\$) returned to Contributor or Paid to Clerk (if any)
Sekhon	Navtej	2200 15 Side Road	Milton	L0P 1J0	10/20/2018	\$ 500.00	\$ -
Breton	Tom	6449 Guelph Line	Burlington	L7P 0A6	10/25/2018	\$ 1,000.00	\$ -
Breton	Jonathan	6449 Guelph Line	Burlington	L7P 0A6	10/25/2018	\$ 1,000.00	
Turner	Barbara	5 Homewood Avenue	Hamilton	L8P 2M1	10/25/2018	\$ 200.00	\$ -
Peaker	Kenneth	2116 Caroline Street	Burlington	L7R 1L8	10/30/2018	\$250	\$ -
Timmis	John	2609 Britannia Road	Burlington	L7P 0G3	10/16/2018	400	\$ -
Gill	Ganga	2503 Cavendish Drive	Burlington	L7P 4J4	10/5/2018	350	\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -
							\$ -

**Table 2: Contributions in goods or services from individuals other than candidate or spouse**  
 (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Tony Wolfaardt	6 Mint Leaf Boulevard, Brampton, ON, L6R 2K4	Airbrush artist service	2018/09/09	495.00
George Volk	1438 Mountain Grove, Burlington, ON, L7P 1S9	Refreshments	2018/09/09	150.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment				<b>Total</b> 645.00

**Total for Part II - Contributions exceeding \$100 per contributor**  
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 8,520.00 1B**

**Part III – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
Advertising	2018/10/22	30.00

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	<b>Total</b>	<b>30.00</b>

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
 (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value \$
N/A				
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	<b>Total</b>			



## Schedule 2 – Fundraising Events and Activities

### Fundraising Event/Activity

Complete a separate schedule for each event or activity held

☐ Additional schedule(s) attached

Description of fundraising event/activity N/A

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

#### Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ \_\_\_\_\_ 2A  
X \_\_\_\_\_ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1)

= \$ \_\_\_\_\_

#### Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____

Total Part II (include in Part 1 of Schedule 1)

= \$ \_\_\_\_\_

#### Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____

Total Part III (include under Income in Box C)

= \$ \_\_\_\_\_

#### Part IV – Expenses related to fundraising event or activity

Provide details

1. _____	+ \$ _____
2. _____	+ \$ _____
3. _____	+ \$ _____
4. _____	+ \$ _____
5. _____	+ \$ _____
6. _____	+ \$ _____
7. _____	+ \$ _____
8. _____	+ \$ _____

Total Part IV Expenses (include under Expenses in Box C)

= \$ \_\_\_\_\_

**Auditor's Report***Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

Burlington

Date (yyyy/mm/dd)

2019/01/30

**Contact Information**

Last Name or Single Name

Gray

Given Name(s)

Colin

Licence Number

1-16549

Address

Suite/Unit No.

LL105

Street No.

720

Street Name

Guelph Line

Municipality

Burlington

Province

ON

Postal Code

L7R 4E2

Telephone No. (including area code)

905 333-4818

Email Address

colingray@colingrayca.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☒ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

## AUDITOR'S REPORT

### MUNICIPAL ELECTIONS ACT, 1996 (SECTION 78)

To: City Clerk, City of Burlington

I have audited the Statement of Campaign Period Income and Expenses, and the Statement of Determination of Surplus or Deficit and Disposition of Surplus of: Rory Nisan, candidate, for the campaign period, from May 1, 2018 to December 31<sup>st</sup> 2018 relating to the election held on October 22<sup>th</sup> 2018. These financial statements are the responsibility of Rory Nisan, Candidate. My responsibility is express an opinion on these financial statement based on my audit.

Except as explained in the following paragraph, I conducted my audit in accordance with Canadian auditing standards. Those standards require that I plan and perform an audit to obtain reasonable assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements.

An audit also includes assessing the accounting principles used and significant estimates made by Rory Nisan, candidate, as well as evaluating the overall financial statement presentation.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, my verification of these transactions was limited to ensuring that the financial statement reflect the amount recorded in the accounting records of Rory Nisan, Candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and I was not able to determine whether any adjustments might be necessary to income and expenses, assets or liabilities, and surplus/deficit.

In my opinion, except for item noted in the following paragraph and the effect of adjustments, if any, which I might have determined to be necessary had I been able to satisfy myself as to the completeness of the records as described in the preceding paragraph, these financial statements present fairly, in all material respects, the financial position of Rory Nisan, Candidate's election campaign as at and the income and expenses for campaign period from May 1, 2018 to December 31<sup>st</sup> 2018 and the determination of surplus deficit and the disposition of surplus in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996.

The Municipal Elections Act, 1996 does not require me to report, nor was it practicable for me to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Municipal Elections Act, 1996.



Colin Gray  
February 26, 2018  
Chartered Professional Accountant  
Licensed Public Accountant