

CA-13-19 - Appendix A: 2019 Internal Audit Work Plan – Status Report

Approved Revised 2019 Audit Plan (CA-05-19)					Updates as of October 11, 2019	
Ref #	Service/Area	Overall Risk Score	Type of Audit	Planning Start/ Fieldwork Start	Status	Comments <i>Bold Italicized Text</i> = updated information
1	Financial Management - Transactional Processing - Accounts Payable & Pcard	72.5	Assurance	April/ September	Fieldwork	<i>Work on this audit has resumed since the completion of the CRM Implementation & On-going Operations audit.</i>
2	Transit – Vehicle and Equipment Maintenance	65	Assurance		Deferred to 2020	
3	Information Technology - IT Support Service	55	Assurance	March/ October	Fieldwork	<i>Survey under development. External resource to be engaged.</i>
4	Corporate Management - Enterprise Risk Management	10.6	Assurance	April/ TBC	Planning	Preliminary planning discussion held. Further discussions pending outcome of CMO re-design.
5	Fire Emergency Response -Training Follow-Up Audit	Follow Up	Assurance	August October/ November	Planning	<i>Preliminary discussions in progress.</i>
6	Financial Management - Financial Accounts - Phase II - GL Account Reconciliation Follow-Up Audit	Follow Up	Assurance	April/ May	Complete. Refer CA-12-19 in September 11, 2019 Audit Committee Agenda	
7	CRM Phase 1 Implementation and On- going Operations	No Score	Assurance	April/ June	Reporting	<i>Draft report issued.</i>