



City of Burlington Office of the City Auditor DASHBOARD

Report #
CA-08-22
Effective:
Aug 30, 2022

Approved Projects

2022 Audit Plan	Percentage Complete	Audit Phase	Project Status	Due Date
ERP Project Audit - Phase 1	<div><div></div></div> 65%	Fieldwork	In Progress	14-Dec-22
Health & Safety (pandemic-related controls)	<div><div></div></div> 85%	Reporting	In Progress	14-Dec-22
IT Cyber-security	<div><div></div></div> 15%	Planning	In Progress	14-Dec-22
Procurement Audit (< \$50,000)	<div><div></div></div> 5%	Research	In Progress	1-Feb-23
Environmental Strategies Review	<div><div></div></div> 0%	-	Not Started	1-Feb-23
ADD: Aldershot BIA Financial Policy Review	<div><div></div></div> 90%	Reporting	In Progress	14-Dec-22

Annual Audit Plan Status

Commentary/Analysis:

- The 2022 Audit Plan (see report **CA-03-22**; approved by Audit Committee on Feb 9, 2022) is progressing on-track. The H&S Audit was originally intended to be reported to Audit Committee in Sept; however fieldwork took longer than expected. Audit work is substantially complete.

- On May 11, 2022 Audit Committee approved a new engagement to provide advisory services to the Aldershot Village BIA; this work is substantially completed. See report F-32-22 for an update.

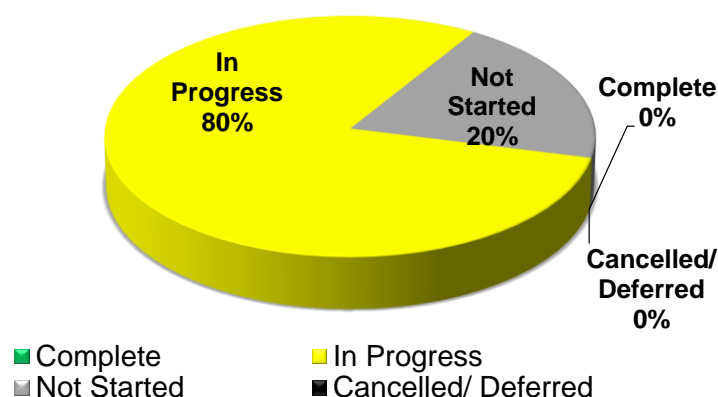
Changes to Annual Audit Plan

ADD: Aldershot Village BIA - review of policies & procedures re: financial management (report CM-16-22 on May 11, 2022).

Other Projects

Project Name	Status
Advisory - HR Email Privacy Controls	Complete
Advisory - Vision 2040 Strategy to Risk Program	Complete
Advisory - Aldershot Village BIA Review	In-Progress
Advisory - Finance/Audit Software Implementation	Complete

2022 Audit Plan Status



Key Performance Indicators (KPI's)

	Actual to Date	Annual Target	Comments
Output			
Completion of Audit Plan	0%	100%	No audit projects completed yet.
Percentage of recommendations accepted by Management	N/A	100%	No recommendations issued yet
Percentage of recommendations closed	N/A	100%	No recommendations issued yet
Percentage of recommendations implemented by the original agreed date	N/A	85%	No recommendations issued yet
Quality			
Post Audit Survey	N/A	85%	No surveys issued yet
Quality Assurance Review	N/A	100%	Q/A work not yet performed
Continued Professional Education			
Chartered Professional Accountant (CPA)	56.6	40	Various courses/events completed
CIA/CRMA Accreditations	56.6	40	Various events including CFE certification

Budget

	BUDGET	ACTUALS	Variance (\$)
Professional Development (i.e. Training, Prof. Memberships)	\$4,500	\$2,226	\$2,274
Operating / Minor Equip. (i.e. Meeting, Office expenses)	\$100	\$0	\$100
Purchased Services (i.e. External Consulting, Telephone)	\$39,320	\$210	\$39,110