



**City of Burlington
Office of the City Auditor
DASHBOARD**

**Report #
CA-02-23
Effective:
Feb 23, 2023**

Approved Projects

Audit Plan	Percentage Complete		Audit Phase	Project Status	Due Date
ERP Project Audit - Phase 1	<div><div></div></div>	100%	-	Complete	14-Dec-22
Health & Safety (Pandemic Controls)	<div><div></div></div>	100%	-	Complete	14-Dec-22
IT Cyber-security	<div><div></div></div>	100%		Complete	8-Mar-23
Procurement Audit (< \$50,000)	<div><div></div></div>	50%	Fieldwork	In Progress	14-Jun-23
Environmental Strategies Review	<div><div></div></div>	5%	Research	In Progress	14-Jun-23
ADD: Aldershot BIA Financial Policy Review	<div><div></div></div>	100%	-	Complete	12-Sep-22

Annual Audit Plan Status

Commentary/Analysis:

- The Audit Plan (see report **CA-03-22**; approved by Audit Committee on Feb 9, 2022) is slightly behind schedule. Audit fieldwork for the Health & Safety and ERP Project Audits took longer than expected. The Procurement Audit originally scheduled to be reported to Audit Committee on March 8, 2023 will be pushed to the following meeting.
- On May 11, 2022 Audit Committee approved a new engagement to provide advisory services to the Aldershot Village BIA; this work is completed. See report F-32-22.

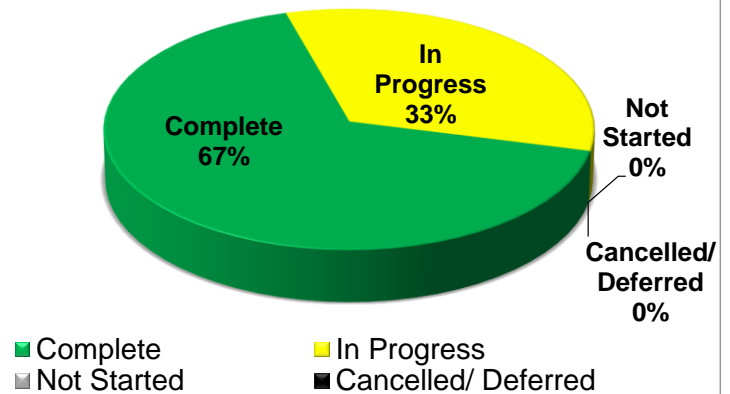
Changes to Annual Audit Plan

ADD: Aldershot Village BIA - review of policies & procedures re: financial management (report CM-16-22 on May 11, 2022).

Other Projects

Project Name	Status
Advisory - HR Email Privacy Controls	Complete
Advisory - Vision 2040 Strategy to Risk Program	Complete
Advisory - Aldershot Village BIA Review	Complete
Advisory - Finance/Analytics Software Implementatio	Complete
Advisory - HR Respect in the Workplace Complaints	Complete

2022 Audit Plan Status



Key Performance Indicators (KPI's)

	Actual to Date	Annual Target	Comments
Output			
Completion of Audit Plan	67%	100%	
Percentage of recommendations accepted by Management	100%	100%	
Percentage of recommendations closed	100%	100%	
Percentage of recommendations implemented by the original agreed date	100%	85%	
Quality			
Post Audit Survey	80%	85%	
Quality Assurance Review	N/A	100%	Q/A work in-progress
Continued Professional Education			
Chartered Professional Accountant (CPA)	2	40	Various courses/webinars
CIA/CRMA/CFE Accreditations	4	40	Various events including IIA data analytics

Budget

	BUDGET	ACTUALS	Variance (\$)
Professional Development (i.e. Training, Prof. Memberships)	\$4,500	\$712	\$3,788
Operating / Minor Equip. (i.e. Meeting, Office expenses)	\$100	\$0	\$100
Purchased Services (i.e. External Consulting, Telephone)	\$40,520	\$0	\$40,520